

PATENT

(Docket No. IN-5550)

STATEMENT UNDER 37 C.F.R. § 3.73(b)- ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION

In re Application of
Lutz-Werner Gross et al.

Serial No.: 10/030,307

Group Art Unit: 1714

Filed: 02/26/2002

Examiner: NILAND, Patrick Dennis

For: AQUEOUS COATING SUBSTANCE, METHOD FOR ITS PRODUCTION AND ITS USE

BOX ISSUE FEE

Commissioner of Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

RECEIVED
CENTRAL FAX CENTER

JUN 15 2004

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that the following papers are being facsimile transmitted to the Patent and Trademark Office (fax No.: (703) 872 9306 on the date shown below:

- ☒ Transmittal Cover Sheet
- ☒ Copy of the PTO-1449
- ☒ Copy of the Express Mail Receipt and Return Post Card

OFFICIAL

Total pages (including this page) 04 .

June 15, 2004

Date

Marjorie Ellis

(Typed or printed name of person mailing paper or fee)



Signature of person mailing paper or fee)

RECEIVED
CENTRAL FAX CENTER

JUN 15 2004

PATENT

OFFICIAL

(Docket No. IN-5550)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Lutz-Werner GROSS et al.

Serial No.: 10/030,307

Filed: February 26, 2002

For: Aqueous Coating Substance, Method
for Its Production and Its Use

Group Art Unit: 1714

Examiner: Patrick Dennis Niland

I hereby certify that the attached correspondence is being transmitted
via facsimile addressed to Commissioner for Patents, PO BOX 1450,
Alexandria, VA 22313-1450, on the date shown below to facsimile
number 1-703-746-4000.

6-15-04

Date


Marjorie Ellis

Commissioner for Patents


PO Box 1450

Alexandria, VA 22313-1450

REQUEST FOR COPY OF INITIALED PTO-1449

Applicants have received all initialed copies of the PTO-1449s that were filed with the application on January 4, 2002. On February 28, 2002 an additional Information Disclosure Statement was filed. Applicants have not received an initialed copy of the PTO-1449 that accompanied this IDS. A copy of this PTO-1449 is enclosed for reference. Also enclosed is a copy of the Express Mail Receipt and Return Receipt Post Card showing that the IDS was submitted and received by the United States Patent and Trademark Office. Please provide an initialed copy of this PTO-1449.

Respectfully submitted,


Michael F. Morgan, Esq. (Reg. No. 42,906)
(248) 948-2355
BASF Corporation
26701 Telegraph Road
Southfield, MI 48034-2442
Date: 20 May 2004

FORM PTO-1449
(MODIFIED)US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Atty. Docket No IN-5550

LIST OF ART CITED BY APPLICANT
(Use sever of sheets if necessary)

In re Application of GROSS et al.

Serial No.: 10/030,307

Filed: 01/04/2002

U.S. PATENT DOCUMENTS

Examiner Initial		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

Examiner Initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL	498 583 A1	31.01.92	EP	B10J	19/18	X	
	AM	WO96/20968	11.07.96	EP	C08G	18/40	X	
	AN							
	AO							
	AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AR	Advanced Organic Coatings Science & Technology Series, 1991, Vol. 13, pp. 193-207, B. Singh et al., entitled "Carbamymethylated melamines novel crosslinkers for the coating industry".
AS	Chemical Eng. Science, Vol. 50, No. 9, pp. 1409-1416, 1995, entitled "Emission polymerization of styrene in a continuous taylor vortex flow reactor"
AT	Types of Paints and Coatings (Binders), Revised edition, edit. D. Stoye and W. Freitag, Wiley-VCH Weinheim, New York, 1998, PAGES 80-86. Entitled Urea, Benzoguanamine, and Melamine Resin for Coatings".

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance.



POST OFFICE TO ADDRESSEE



ORIGIN (POSTAL USE ONLY)

PO ZIP Code	Date of Delivery	Flat Rate Envelope
	<input checked="" type="checkbox"/> Next <input type="checkbox"/> Second	<input type="checkbox"/>
Date in		Postage
Mo. Day Year	<input type="checkbox"/> 12 Noon <input checked="" type="checkbox"/> 4 PM	\$
Time in	Military	Return Receipt Fee
<input type="checkbox"/> AM <input checked="" type="checkbox"/> PM	<input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	
Weight	Int'l Alpha Country Code	GOD Fee
lbs. ozs.		Insurance Fee
No Delivery	Acceptance Charge/Rate	Total Postage & Fees
<input type="checkbox"/> Weekend <input type="checkbox"/> Holiday		\$

SEE REVERSE SIDE FOR
SERVICE GUARANTEE AND
INSURANCE COVERAGE LIMITS

CUSTOMER USE ONLY

METHOD OF PAYMENT		Federal Agency Acct. No. or	
Express Mail Corporate Acct. No.		Postal Service Acct. No.	
FROM: (PLEASE PRINT)	PHONE	TO: (PLEASE PRINT)	PHONE
BASF Corporation Patent Department 25701 Telegraph Road Southfield, Michigan 48034-2442		Assistant Commissioner for Patent Box 101 Washington D.C. 20251	
10-5020		ZIP+4	
		<input type="checkbox"/> 1 <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> 4 <input type="checkbox"/> 5 <input type="checkbox"/> 6 <input type="checkbox"/> 7 <input type="checkbox"/> 8 <input type="checkbox"/> 9 <input type="checkbox"/> 0	

FOR PICKUP OR TRACKING CALL 1-800-222-1811

www.usps.com



THIS ACKNOWLEDGES

- | | |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | Transmittal Cover Letter <u>in duplicate</u> |
| <input type="checkbox"/> | Recordation Form Cover Sheet <u>in duplicate</u> |
| <input type="checkbox"/> | Statement under 37 CFR § 3.73§1.115 |
| <input checked="" type="checkbox"/> | Combined Declaration/Power of Attorney |
| <input checked="" type="checkbox"/> | Information Disclosure Statement |
| <input type="checkbox"/> | Patent Application Data Sheet |
| <input type="checkbox"/> | Application |

Utility Transmittal Form

☒ PTO-1449 Form☒ Cited Reference(s)

Assignment to BASF Corp.

Copy of the Notice to File Missing Parts

Application No.:

10/030,307

Docket No.:

IN-5550

Filing Date:

January 4, 2002

Inventor(s):

GROSS et al.

Attorney(s):

☒ Anne Gerry Sabourin

Express Label No.:

ET973679328US

JC07 Rec'd PCT/PTO 26 FEB 2002

